



JFW

PATENT  
Customer No. 22,852  
Attorney Docket No. 05997.0019-00

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: )  
)  
Ross H. CORNELL ) Group Art Unit: 3624  
)  
Application No.: 09/664,403 ) Examiner: Dr. Geoffrey R. Akers, P.E.  
)  
Filed: September 18, 2000 )  
)  
For: DATA PROCESSING SYSTEMS )  
AND METHOD FOR CREATING )  
EFFICIENT FLOATER CLASSES )

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**RESPONSE TO RESTRICTION REQUIREMENT**

In reply to the Restriction Requirement dated November 16, 2004, the period of response to which ends December 16, 2004, without extensions, Applicant provisionally elects Group I with traverse.

**REMARKS**

In a restriction requirement dated November 16, 2004, the Examiner required restriction under 35 U.S.C. § 121 between:

Group I, claims 7-10, 27-30, and 45-48, characterized by the Examiner as drawn to a data processing system, a system, and a method for a risk analysis, development, and creation/administration of a structured security;

Group II, claims 63-70 and 31-44, characterized by the Examiner as drawn to a product and method relating to a structured security; and